IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Harpold et al.

Serial No.:

07/938,154

Filed:

November 30, 1992

For:

HUMAN NEURONAL NICOTINIC ACETYLCHOLINE RECEPTOR COMPOSITIONS AND METHODS

EMPLOYING SAME

5,981 19

Art Unit:

1646

Examiner:

Ulm, J.

TRANSMITTAL LETTER

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

addressed to:
CERTIFICATE OF CORRECTION BRANCH

Assistant Commissioner for Patents Washington, D.C. 20231, on this date.

12/17/99 Date Kathy Holloway

CERTIFICATE

JAN 2 0 2000

OF CORRECTION

CERTIFICATE OF CORRECTION BRANCH Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith are a Request for Certificate of Correction pursuant to 37 C.F.R. §§ 1.322, form PTO-1050 in duplicate, an initialed PTO-1449 Form, a response to an amendment, and a check for \$100 and a return postcard.

The Commissioner is hereby authorized to charge the fee for the Request for Certificate of Correction, and any fee, including any submitted herewith if an attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 08-1641. A duplicate of this sheet is enclosed.

Respectfully submitted,

HELLER EHRIMAN WHITE & McAULIFFE

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No. 24735-9380US (formerly 6362-9380US)

Address all correspondence to:

Stephanie Seidman, Esq. HELLER EHRMAN WHITE & McAULIFFE 4250 Executive Square, 7th Floor La Jolla, California 92037

Telephone: 858/450-8400 Facsimile: 858/587-5360

EMAIL: sseidman@HEWM.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Harpold et al.

Serial No.:

07/938,154

Filed:

November 30, 1992

For:

HUMAN NEURONAL NICOTINIC ACETYLCHOLINE RECEPTOR

COMPOSITIONS AND METHODS

EMPLOYING SAME

Art Unit:

1646

Examiner:

Ulm, J.

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Certificate of Correction Branch

Assistant Commissioner for Patents Washington, D.C. 20231, on this date.

12/17/99

Date

HOCUCLY
Kathy Holloway

REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 C.F.R. § 1.322

Certificate of Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.322, the patentee respectfully requests that a Certificate of Correction be issued for the above-referenced patent to correct the following errors:

IN THE REFERENCES CITED, ITEM [56]

Please add the following in the Other Publications:

EMBASE abstract #90191445, Kouzarides *et al.* Behind the Fos an Jun Leucine zipper, *Cancer Cells USA* 1(3):71-76 (1989).

Gorman et al., Recombinant genomes which express chloramphenicol acetyltransferase in mammalian cells, Mol. Cell. Biol. 2(9): 1044-1051 (1982).

IN THE SPECIFICATION:

at column 4, line 5, please replace "Figure 5" with —Figure 5A-B—; at column 4, line 11, please replace "Figure 6" with —Figure 6A-E—; at column 4, line 17, please replace "Figure 7" with —Figure 7A-B—; at column 4, line 19, please replace "Figure 8" with —Figure 8A-B—; at column 4, line 21, please replace "Figure 9" with —Figure 9A-E—;

at column 3, line 66, please replace "Figure 4" with -Figure 4A-C-;

at column 5, line 61, please replace "Figure 7" with —Figure 7A-B—; at column 6, line 1, please replace "Figure 8" with —Figure 8A-B—;

100,00

01/05/2000 MBERHE1 00000206 5981193

```
at column 6, line 8, please replace "Figure 9" with —Figure 9A-E—; at column 6, line 59, please replace "hight" with —high—; at column 7, line 2, please replace "Figures 4, 5 and 6" with —Figures 4A-C, 5A-B and 6A-E—; at column 9, line 57, please replace "Figures 4, 5 and 6" with —Figures 4A-C, 5A-B and 6A-E—; at column 9, line 62, please replace "Figures 7, 8 and 9" with —Figures 7A-B, 8A-B and 9A-E—; at column 10, line 6, please replace "Figure 7" with —Figure 7A-B—; at column 10, line 8, please replace "Figure 8" with —Figure 8A-B—; at column 10, line 9, please replace "Figure 9" with —Figure 9A-E—; IN THE CLAIMS:
```

Please replace claim 3 with the following claim:

3. An isolated and purified human neuronal nicotinic acetylcholine receptor subunit encoded by the beta 2-encoding nucleic acid in a plasmid having all of the identifying characteristics of HnAChR\$\textit{\beta}\$2 deposited under ATCC Accession No. 68279.

REMARKS

Duplicates of a Certificate of Correction (Form PTO-1050) incorporating the above changes are included with this Request. Because some of the errors are due to the Applicant's mistake, the appropriate fee under 37 C.F.R. § 1.20(a) (\$100.00) is included. If it is determined that the enclosed check is in the wrong amount, the Office is hereby authorized to charge the fee to Deposit Account No. 08-1641.

An Information Disclosure Statement citing the Publications listed above was mailed on July 2, 1996. The forms PTO-1449 citing the Gorman document were, considered, initialed and sent back by the Examiner in Office Communications mailed August 20, 1996. The EMBASE abstract was considered and entered with the Amendment Pursuant to 37 C.F.R. 1.312(a) filed May 24, 1999. To provide evidence of this, enclosed are copies of the initialled, returned Form PTO-1449 and the response to the Amendment Pursuant to 37 C.F.R. 1.312(a) filed May 24, 1999. The cited documents were not listed or listed incorrectly on the issued patent. This Certificate of Correction seeks to amend the References Cited.

Corrections to the Specification for obvious typographical errors and Figure labels were sought in an Amendment Pursuant to 37 C.F.R. §1.312(a) filed May 24,

1999 and acknowledged in the Response to Rule 312 Communication dated September 7, 1999. The corrections to the Specification were not reflected in the issued patent.

An amendment, filed 11/2/98, to claim 3 (originally numbered 56) was reflected in the issued patent. The amendment was as follows:

56. (Amended) [A] <u>An isolated and purified</u> [substantially pure subunit of the] human neuronal nicotinic acetylcholine receptor <u>subunit</u> encoded by the <u>beta2-encoding</u> nucleic acid [of claim 53]<u>in a plasmid having all of the identifying</u> characteristics of HnAChRa3 deposited under ATCC Accession No. 68279.

The above cited claim referring to the β_2 encoding subunit however inadvertently designated the plasmid as HnAChR α 3. This is clearly a typographical error. The plasmid encoding β_2 is designated HnAChR β 2. Basis for this correction can be found, for example, at page 11 of the specification as originally filed. This Certificate of Correction seeks to amend the Claim.

This Certificate of Correction seeks to amend the errors in the other art, specification and claim. No new matter has been added.

Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No. 24735-9380US (formerly 6362-9380US)

Address all correspondence to:
Stephanie Seidman, Esq.
HELLER EHRMAN WHITE & McAULIFFE
4250 Executive Square, 7th Floor
La Jolla, California 92037

Telephone: 858/450-8400 Facsimile: 858/587-5360 EMAIL: sseidman@HEWM.com